



Appendix A Risks and Action Plan Risk Identified	Risk Owner	Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status	Status	Current Rating
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 24/10/2017	as at Mar 17	as at June 17	as at Sept 17
1 Potential Claims growth	Chief Executive	The Council has robust systems in place both to deal with claims when they happen and also to prevent, where possible, the circumstances where claims could arise. In doing so, the Council has in place policies and procedures designed to enhance safety at work and also to advise staff and others when driving or operating machinery. The Council checks, on a regular basis, that it is up to date on best practice in this area and that systems reflect changes in the local, national or international environments		Strategic	Risks reviewed and noted that this area is of growing significance with the number and value of claims increasing. Further actions reviewed. Consideration was given to potential control measures, but these are addressed by the existing further actions.	I = 3 L = 3 High 9	I = 3 L = 3 High 9	I = 3 L = 3 High 9

Notable High and Medium Risks - Appendix A

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 2 Financial consequences of adverse planning decisions	Regeneration and Development	Production of Emerging Joint Local Plan – completion of Preferred Options stage	Dec-17	Strategic	The emerging Joint Local Plan will provide a more robust framework against which future planning applications will be determined. It will take several years to complete but it will gather greater weight as it proceeds through the various stages of the overall process. The Preferred options document is the next stage and will be reported to Cabinet in December 2017	I = 3 L = 3 High 9	I = 3 L = 3 High 9	I = 3 L = 3 High 9
 3 Fire risk occurrence	Corporate Health and Safety	1. Removal of the battery collection from the council's recycling system. 2. Specific extinguisher training being procured for staff at the site. 3. Amendment to fire evacuation plan once training received.	Mar-18	Operational	Current battery collection and recycling is undertaken at the council however this is not a requirement - the requirement lies with the businesses that sell batteries to provide a recycling point - this will allow the council to cease the collection practice and reduce the likelihood of fires occurring	I = 3 L = 1 Medium 3	I = 3 L = 3 High 9	I = 3 L = 3 High 9

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4 Failure to deliver Local Air Quality Management action plans	Environmental Protection	Deliver Air Quality Action Plan to DEFRA. Failure to manage air quality in accordance with statutory requirements and not addressing risks to residents health in affected areas. The minister has reserve power functions and judicial review of the council function /decision making may be called. Development and delivery of measures requires buy in from key stakeholders.	Nov-17	Operational	The action plan should have been delivered during 2016/17, however it was revised to be delivered during Q2 of 2017/18. The draft report was sent to the consultants for comments during September and will be sent to DEFRA around 04/11/2017	I = 2 L = 1 LOW 2	I = 2 L = 3 Medium 8	I = 2 L = 3 Medium 8

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<div data-bbox="44 534 179 606" style="background-color: orange; width: 60px; height: 45px; margin-bottom: 10px;"></div> 5 Loss of Specialist equipment - servers	ICT	With the move to Castle House, the council's business continuity plan needs to be fully reviewed, taking in to account the interim period before re-location	Dec-17	Business Impact Assessment	24/10/17 - Update - ICT have completed building a new DR site at the depot and resilient telephony links have also been installed. Significant progress has also been made in removing the Council's reliance upon a single building to house staff, as more than 50% of workers based at the current Civic Offices are now enabled to work from home. ICT will work with the Council's Civil Contingencies group following the migration to Castle House to ensure that BCPs are updated appropriately to reflect the changed vulnerabilities and improvements.	I = 1 L = 3 Medium 7	I = 1 L = 3 Medium 7	I = 1 L = 3 Medium 7